## Managing Environmental Conflicts through Alternative Dispute Resolution

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## Managing Environmental Conflicts through Alternative Dispute Resolution <u>Kariuki Muigua\*</u>

### Abstract

This paper critically discusses the role of Alternative Dispute Resolution (ADR) mechanisms in managing environmental conflicts. It defines environmental conflicts and examines their nature and causes. It also explores the viability of ADR mechanisms in managing environmental conflicts noting to highlight the advantages and drawbacks thereof. The paper argues that ADR mechanisms can be a viable tool in managing environmental conflicts and fostering sustainability. It proposes initiatives towards strengthening the role of ADR mechanisms in managing environmental conflicts.

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### **1.0 Introduction**

It has been correctly observed that conflicts are a common occurrence in human relationships and interactions<sup>1</sup>. They are an inevitable part of living because they are related to situations of scarce resources, division of functions, power relations and role-differentiation<sup>2</sup>. The term conflict generally refers to a situation in which two or more parties perceive that they possess mutually incompatible goals<sup>3</sup>. It has also been defined as a process of social interaction involving a struggle over claims to resources, power and status, beliefs, and other preferences and desires<sup>4</sup>. It has been pointed out that conflict centers on incompatibilities, an expressed struggle, and interdependence among two or more parties<sup>5</sup>. Incompatibility refers to mutually exclusive or diametrically opposed goals, values, or beliefs<sup>6</sup>. Conflict can therefore be understood as the interaction of interdependent people who perceive incompatibility and the possibility of interference from others as a result of this incompatibility<sup>7</sup>.

Conflicts are an undesirable phenomena in any given society. It has been posited that conflicts can affect peace, sustainability and development<sup>8</sup>. Conflicts can result in deaths and injuries, population displacement, the destruction of assets, and the

<sup>1</sup> Muigua. K., 'Reframing Conflict Management in the East African Community: Moving from Alternative to 'Appropriate' Dispute Resolution.' Available at <u>https://kmco.co.ke/wp-content/uploads/2023/06/Reframing-Conflict-Management-in-the-East-African-Community-Moving-from-Alternative-to-Appropriate-Dispute-Resolution-1.pdf</u> (Accessed on 18/01/2024)

<sup>2</sup> Bercovitch. J., 'Conflict and Conflict Management in Organizations: A Framework for Analysis.' Available at <u>https://ocd.lcwu.edu.pk/cfiles/International%20Relations/EC/IR-</u> <u>403/Conflict.ConflictManagementinOrganizations.pdf</u> (Accessed on 18/01/2024)

<sup>&</sup>lt;sup>3</sup> Demmers. J., 'Theories of Violent Conflict: An Introduction' (Routledge, New York, 2012) <sup>4</sup> Muigua. K., 'Alternative Dispute Resolution and Access to Justice in Kenya.' Glenwood Publishers Limited, 2015

<sup>&</sup>lt;sup>5</sup> Putnam. L., 'Definitions and Approaches to Conflict and Communication.' Available at https://www.researchgate.net/profile/L\_Putnam/publication/292654875\_Definitions\_and\_approaches\_to\_conflict\_and\_communication/links/6483440ab3dfd73b776fe6ef/Definitions-and-approaches-to-conflict-and-communication.pdf (Accessed on 18/01/2024)

<sup>&</sup>lt;sup>6</sup> Ibid

<sup>&</sup>lt;sup>7</sup> Ibid

<sup>&</sup>lt;sup>8</sup> Muigua. K., 'Reframing Conflict Management in the East African Community: Moving from Alternative to 'Appropriate' Dispute Resolution.' Op Cit

disruption of social and economic systems<sup>9</sup>. As a result, it has been argued that development is not feasible in a conflict situation<sup>10</sup>. According to the United Nations, conflicts are major threat to the achievement of Sustainable Development<sup>11</sup>. It posits that the Sustainable Development Goals (SDGs) have an intrinsic relationship to peace and stability<sup>12</sup>. As a result, there is need for effective and expeditious management of conflicts in order to spur Sustainable Development<sup>13</sup>.

Conflict management refers to the processes and techniques adopted towards stopping or preventing overt conflicts and aiding the parties involved to reach a durable and peaceful solution to their differences<sup>14</sup>. Conflict management therefore involves handling all stages of a conflict as well as the mechanisms used in addressing conflicts<sup>15</sup>. It has been pointed out that the purpose of conflict management, whether undertaken by the parties in conflict or whether involving the intervention of an outside party, is to affect the entire structure of a conflict situation so as to contain the destructive components in the conflict process (such as hostility and use of violence) and help the parties possessing incompatible goals to find some solution to their conflict<sup>16</sup>. In addition, it has been argued that effective conflict management succeeds in minimizing disruption stemming from the existence of a conflict, and providing a solution that is satisfactory and acceptable<sup>17</sup>. Various approaches and techniques can be adopted towards managing conflicts ranging from the most informal negotiations

<sup>&</sup>lt;sup>9</sup> Mahler. D. G., & Vishwanath. T., 'When the Consequences of Conflicts Last Generations: Intergenerational Mobility in Iraq and Vietnam.' Available at

https://blogs.worldbank.org/dev4peace/when-consequences-conflicts-last-generationsintergenerational-mobility-iraq-and-

vietnam#:~:text=They%20include%20deaths%20and%20injuries,of%20social%20and%20economic%2 0systems. (Accessed on 18/01/2024)

<sup>&</sup>lt;sup>10</sup> Muigua. K & Kariuki. F., 'ADR, Access to Justice and Development in Kenya.' Available at <u>http://kmco.co.ke/wp-content/uploads/2018/08/ADR-access-to-justice-and-development-in-KenyaRevised-version-of-20.10.14.pdf</u> (Accessed on 18/01/2024)

<sup>&</sup>lt;sup>11</sup> United Nations., 'No Peace, No Sustainable Development: A Vicious Cycle that We Can Break.' Available at <u>https://www.un.org/en/chronicle/article/no-peace-no-sustainable-development-vicious-cycle-we-can-break</u> (Accessed on 18/01/2024)

<sup>&</sup>lt;sup>12</sup> Ibid

<sup>&</sup>lt;sup>13</sup> Ibid

<sup>&</sup>lt;sup>14</sup> Leeds. C.A., 'Managing Conflicts across Cultures: Challenges to Practitioners.' *International Journal of Peace Studies*, Volume 2, No. 2, 1997

<sup>&</sup>lt;sup>15</sup> Ibid

<sup>&</sup>lt;sup>16</sup> Bercovitch. J., 'Conflict and Conflict Management in Organizations: A Framework for Analysis.' Op Cit

<sup>17</sup> Ibid

between the parties themselves through increasing formality and more directive interventions from external sources to a full court hearing with strict rules of procedure<sup>18</sup>.

It has been argued that conflict management could result in settlement through the use of mechanisms such as litigation and arbitration which are highly coercive, power based and involve a lot of compromise in addressing the conflict<sup>19</sup>. Settlement mechanisms can be effective in providing an immediate solution to a conflict but fail to address underlying issues leaving the likelihood of conflicts remerging in future<sup>20</sup>. Conflict management could also result in resolution through the use of Alternative Dispute Resolution (ADR) processes such as mediation, negotiation and facilitation which are non-coercive, non-power based and focus on the needs and interest of parties<sup>21</sup>. Resolution mechanisms result in mutually satisfying outcomes that address the root causes of conflicts therefore creating long lasting outcomes<sup>22</sup>.

This paper critically discusses the role of ADR mechanisms in managing environmental conflicts. It defines environmental conflicts and examines their nature and causes. It also explores the viability of ADR mechanisms in managing environmental conflicts noting to highlight the advantages and drawbacks thereof. The paper argues that ADR mechanisms can be a viable tool in managing environmental conflicts and fostering sustainability. It proposes initiatives towards strengthening the role of ADR mechanisms in managing environmental conflicts.

### 2.0 Environmental Conflicts: Definition and Causes

The environment is a major cause of conflicts. It has been observed that nearly every community finds itself embroiled in occasional disputes over public land use, private land development, water quality or quantity, air quality, protection of habitats and species, waste disposal, use and management of natural resources, environmental

<sup>&</sup>lt;sup>18</sup> Muigua. K., 'Alternative Dispute Resolution and Access to Justice in Kenya.' Op Cit

<sup>&</sup>lt;sup>19</sup> Ibid

<sup>&</sup>lt;sup>20</sup> Ibid

<sup>&</sup>lt;sup>21</sup> Ibid

<sup>&</sup>lt;sup>22</sup> Ibid

hazards among other issues<sup>23</sup>. Environmental conflicts are therefore a common occurrence. It has been posited that what is often at stake in such conflicts are fundamental issues including individual and community health, racial and ethnic justice, the integrity or destruction of whole ecosystems, and the economic or cultural viability of human communities<sup>24</sup>. According to the United Nations Environment Programme (UNEP), factors such as environmental degradation, inequitable access to natural resources and the transboundary movement of hazardous materials can lead to environmental conflicts and pose a risk to national security and human health<sup>25</sup>.

Environmental conflicts have been broadly defined as social conflicts related to the environment<sup>26</sup>. Further, environmental conflicts have also been defined as conflicts causes by the environmental scarcity of a resource, that means: caused by a humanmade disturbance of its normal regeneration rate<sup>27</sup>. In addition, environmental conflicts can also refer to the contests that exist as a result of the various competing interests over access to and use of natural resources such as land, water, minerals and forests<sup>28</sup>. It has been argued that conflicts caused by physical, geopolitical or socio-economic resource scarcity are not environmental conflicts but traditional conflicts of resource distribution<sup>29</sup>. Further, it has been asserted that environmental conflicts differ, but frequently overlap, with other types of conflicts on gender, class, territory, and identity among others<sup>30</sup>. In addition, it has been observed that environmental conflicts such as conflicts over natural resources have always been part of human

<sup>27</sup> Libiszewski. S., 'What is an Environmental Conflict?' Available at https://css.ethz.ch/content/dam/ethz/special-interest/gess/cis/center-for-securitiesstudies/pdfs/What\_is\_Environment\_Conflict\_1992.pdf (Accessed on 18/01/2024)

<sup>28</sup> Muigua. K., 'Environmental Conflict Management Institutions and Approaches.' Available at <u>https://kmco.co.ke/wp-content/uploads/2022/09/Environmental-Conflict-Management-Institutions-and-Approaches.pdf</u> (Accessed on 18/01/2024)

<sup>&</sup>lt;sup>23</sup> Dukes. F., 'What we Know About Environmental Conflict Resolution: An Analysis Based on Research.' *Conflict Resolution Quarterly.*, Volume 22, Issue 1-2

<sup>&</sup>lt;sup>24</sup> Ibid

<sup>&</sup>lt;sup>25</sup> United Nations Environment Programme., 'Disasters and Conflicts.' Available at

https://www.unep.org/regions/europe/regional-initiatives/disasters-and-conflicts (Accessed on 18/01/2024)

<sup>&</sup>lt;sup>26</sup> Scheidel. A et al., 'Environmental Conflicts and Defenders: A Global Overview.' *Global Environmental Change*, 63 (2020)

<sup>&</sup>lt;sup>29</sup> Libiszewski. S., 'What is an Environmental Conflict?' Op Cit

<sup>&</sup>lt;sup>30</sup> Scheidel. A et al., 'Environmental Conflicts and Defenders: A Global Overview.' Op Cit

history<sup>31</sup>. Environmental conflicts have been classified as a subset of the larger category of public conflicts involving issues such as health and health care, race and ethnicity, economic development, and governance<sup>32</sup>. Environmental conflicts often involve a combination of these issues<sup>33</sup>. In addition, it has been observed that environmental conflicts may involve multiple jurisdictions and multiple levels of jurisdiction (including international, regional, national and local), and the conflict may be less about the resources stake than about issues of jurisdiction or precedent<sup>34</sup>.

The causes of environmental conflicts vary across the globe and their manifestations differ considerably<sup>35</sup>. It has been observed that causes of environmental conflicts can range from control over vital environmental resources to contestations over natural resources at the community and/or household levels<sup>36</sup>. In addition, it has been argued that environmental conflicts are largely due to poverty or resource scarcities, which can be demand-induced, supply-induced, or structural<sup>37</sup>. This view implies that the occurrence and intensity of environmental conflicts would increase as resources become scarcer, or if resources have been overused, depleted, or degraded to a certain threshold, environmental conflicts would worsen<sup>38</sup>. For example, it has been argued that environmental scarcities have had great adverse effects on populations, including violent conflicts in many parts of the developing world<sup>39</sup>. In addition, it has been argued that these conflicts are especially expected to be more devastating in poor societies since they are less able to buffer themselves from environmental scarcities and the social crises they cause<sup>40</sup>.

<sup>&</sup>lt;sup>31</sup> Ibid

<sup>&</sup>lt;sup>32</sup> Dukes. F., 'What we Know About Environmental Conflict Resolution: An Analysis Based on Research.' *Conflict Resolution Quarterly.*, Volume 22, Issue 1-2

<sup>&</sup>lt;sup>33</sup> Ibid

<sup>&</sup>lt;sup>34</sup> Ibid

<sup>&</sup>lt;sup>35</sup> Muigua. K., 'Nurturing Our Environment for Sustainable Development.' Glenwood Publishers Limited, 2016

<sup>&</sup>lt;sup>36</sup> Ibid

 <sup>&</sup>lt;sup>37</sup> Scheidel. A et al., 'Environmental Conflicts and Defenders: A Global Overview.' Op Cit
<sup>38</sup> Ibid

<sup>&</sup>lt;sup>39</sup> Homer-Dixon, T.F., "Environmental scarcities and violent conflict: evidence from cases," *International Security* 19, No. 1 (1994): 5-40 at p. 6

<sup>40</sup> Ibid

Environmental conflicts are a major threat to the achievement of Sustainable Development<sup>41</sup>. According to UNEP, while natural resources are key to achieving Sustainable Development, they are also increasingly acting as drivers of fragility, conflict and violence<sup>42</sup>. It further asserts that as the global population continues to rise, the global demand for natural resources continues to grow, and the impacts of climate change begin to materialize, and therefore competition over natural resources is set to intensify, a situation that could spiral into more environmental conflicts<sup>43</sup>. In addition, it has been contended that natural resource- based conflicts also are, directly and indirectly connected to and/or impact human development factors and especially the quest for social-economic development<sup>44</sup>. It is therefore necessary to foster effective management of environmental conflicts in order to realize Sustainable Development.

# 3.0 Managing Environmental Conflicts through ADR: Opportunities and Challenges

ADR is an umbrella term that encompasses a set of processes that are applied to manage disputes without resort to adversarial litigation<sup>45</sup>. It can also refer to a set of mechanisms that are applied in managing disputes that may be linked to but function outside formal court litigation processes<sup>46</sup>. These processes include negotiation, mediation, arbitration, conciliation, adjudication, expert determination, early neutral evaluation, and Traditional Dispute Resolution Mechanisms (TDRMs) among

<sup>&</sup>lt;sup>41</sup> United Nations Environment Programme., 'Environmental Cooperation and Peacebuilding.' Available at <u>https://www.unep.org/topics/fresh-water/disasters-and-climate-</u> <u>change/environment-security/environmental-cooperation-</u>

and#:~:text=International%20law%2C%20environment%20and%20conflict,and%20reliance%20on%2 0conflict%20resources. (Accessed on 18/01/2024)

<sup>&</sup>lt;sup>42</sup> Ibid

<sup>&</sup>lt;sup>43</sup> Ibid

<sup>&</sup>lt;sup>44</sup> Muigua. K., 'Managing Environmental Conflicts through Participatory Mechanisms for Sustainable Development in Kenya.' Available at <u>https://kmco.co.ke/wp-content/uploads/2018/08/Managing-Environmental-Conflicts-through-Participatory-Mechanisms-for-Sustainable-Development-in-Kenya-Kariuki-Muigua-August-2018.pdf</u> (Accessed on 18/01/2024)

<sup>&</sup>lt;sup>45</sup> Muigua. K., 'Alternative Dispute Resolution and Access to Justice in Kenya.' Op Cit

<sup>&</sup>lt;sup>46</sup> Uwazie. E., 'Alternative Dispute Resolution in Africa: Preventing Conflict and Enhancing Stability.' *Africa Security Brief*, No. 16 of 2011

others<sup>47</sup>. ADR mechanisms have been recognized at the global level under the *Charter* of the United Nations<sup>48</sup>. The Charter provides that parties to a dispute shall first of all seek a solution by negotiation, enquiry, mediation, conciliation, arbitration, judicial settlement, resort to regional agencies or arrangements, or other peaceful means of their own choice<sup>49</sup> (Emphasis added). At a national level, the *Constitution of Kenya*<sup>50</sup> embraces ADR mechanisms. It mandates courts and tribunals to promote ADR mechanisms including reconciliation, mediation, arbitration and TDRMs<sup>51</sup>.

ADR mechanisms are viewed as ideal in enhancing access to justice<sup>52</sup>. Access to justice has been recognized as a fundamental human right<sup>53</sup>. It has been described as a basic principle of the rule of law<sup>54</sup>. In the absence of access to justice, people are unable to have their voice heard, exercise their rights, challenge discrimination or hold decision-makers accountable<sup>55</sup>. It has been correctly observed that international standards recognize access to justice as both a basic human right and a means to protect other universally recognized human rights<sup>56</sup>. Access to justice is at the heart of the United Nation's 2030 Agenda for Sustainable Development which acknowledges that sustainable peace and development cannot be achieved without justice<sup>57</sup>. Sustainable Development Goal (SDG) 16 seeks to foster Peace, Justice and Strong Institutions with states committing to provide access to justice for all, recognizing it as a key indicator of peaceful and inclusive societies<sup>58</sup>. At a national level, the Constitution of Kenya

<sup>&</sup>lt;sup>47</sup> Muigua. K., 'Alternative Dispute Resolution and Access to Justice in Kenya.' Op Cit

<sup>&</sup>lt;sup>48</sup> United Nations, Charter of the United Nations, 24 October 1945, 1 UNTS XVI

<sup>&</sup>lt;sup>49</sup> Ibid, Article 33 (1)

<sup>&</sup>lt;sup>50</sup> Constitution of Kenya, 2010., Government Printer, Nairobi

<sup>&</sup>lt;sup>51</sup> Ibid, Article 159 (2) (c)

<sup>&</sup>lt;sup>52</sup> Muigua. K., 'Alternative Dispute Resolution and Access to Justice in Kenya.' Op Cit

 <sup>&</sup>lt;sup>53</sup> United Nations., 'Access to Justice.' Available at <u>https://www.un.org/ruleoflaw/thematic-areas/access-to-justice-and-rule-of-law-institutions/access-to-justice/</u> (Accessed on 19/01/2024)
<sup>54</sup> Ibid

<sup>&</sup>lt;sup>55</sup> Ibid

<sup>&</sup>lt;sup>56</sup> American Bar Association., 'Human Rights and Access to Justice.' Available at <u>https://www.americanbar.org/advocacy/rule\_of\_law/what-we-do/human-rights-access-to-justice/</u> (Accessed on 19/01/2024)

 <sup>&</sup>lt;sup>57</sup> United Nations General Assembly., 'Transforming Our World: the 2030 Agenda for Sustainable Development.' 21 October 2015, A/RES/70/1., Available at <a href="https://sustainabledevelopment.un.org/content/documents/21252030%20Agenda%20for%20Sustainable%20Development%20web.pdf">https://sustainabledevelopment.un.org/content/documents/21252030%20Agenda%20for%20Sustainable</a> <a href="https://sustainabledevelopment.un.org/content/documents/21252030%20Agenda%20for%20Sustainable%20Development%20web.pdf">https://sustainabledevelopment.un.org/content/documents/21252030%20Agenda%20for%20Sustainable</a> <a href="https://sustainable.example">https://sustainabledevelopment.un.org/content/documents/21252030%20Agenda%20for%20Sustainable</a> <a href="https://sustainable.example">https://sustainabledevelopment.un.org/content/documents/21252030%20Agenda%20for%20Sustainable</a> <a href="https://sustainable.example">https://sustainabledevelopment.un.org/content/documents/21252030%20Agenda%20for%20Sustainable</a> <a href="https://sustainable.example">sustainable@agenda%20for%20Sustainable</a> <a href="https://sustainable%20Development%20web.pdf">https://sustainable@agenda%20for%20Sustainable</a> <a href="https://sustainable%20Development%20web.pdf">https://sustainable</a> <a href="https://sustainable%20Development%20web.pdf">https://sustainable</a> <a href="https://sustainable%20Development%20web.pdf">https://sustainable</a> <a href="https://sustainable%20Development%20web.pdf">https://sustainable</a> <a href="https://sustainable%20Development%20web.pdf">https://sustainable%20Development%20web.pdf</a> <a href="https://sustainable%20web.pdf">https://sustainable</a> <a href="https://sustainable%20web.pdf">https://sustainable</a> <a href="https://sustainable%20web.pdf">https://sustainable</a> <a href="https://sustainable%20web.pdf">https://sustainable</a> <a href="https://sustainable%20web.pdf">https://sustainable</a> <a href="https://sustainable%20web.pdf">https://sustainable</a> <a href="https://sustainable%20web.p

recognizes access to justice as a fundamental human right<sup>59</sup>. It requires the state to ensure access to justice for all persons and that if any fee is required, it shall be reasonable and shall not impede access to justice<sup>60</sup>.

Despite the recognition of access to justice as a basic human right at the global and national levels, it has been pointed out that the right of access to justice especially in developing countries has hitherto been hampered by many unfavourable factors such as high court filing fees, bureaucracy, complex legal procedures, illiteracy, distance from formal courts, backlog of cases in courts and lack of legal knowhow<sup>61</sup>. In light of the foregoing challenges, ADR mechanisms have been advocated as a viable option in enhancing access to justice<sup>62</sup>. ADR processes contain certain attributes which include informality, flexibility, privacy, confidentiality, party autonomy and the ability to foster expeditious and cost- effective management of disputes which makes them ideal in enhancing access to justice<sup>63</sup>.

It has been argued that ADR mechanisms can enhance Environmental Justice by promoting effective and efficient management of environmental conflicts<sup>64</sup>. Environmental Justice is a concept that seeks to foster the right of every person to have access to natural resources; not to suffer disproportionately from environmental policies, laws and regulations; and the right to environmental information, participation and involvement in decision-making<sup>65</sup>. Environmental Justice is attained when every person enjoys the same degree of protection from environmental and health hazards and has access to the decision-making process to have a healthy

<sup>&</sup>lt;sup>59</sup> Constitution of Kenya, 2010., Government Printer, Nairobi

<sup>60</sup> Ibid

<sup>&</sup>lt;sup>61</sup> Ojwang. J.B, "The Role of the Judiciary in Promoting Environmental Compliance and Sustainable Development," 1 *Kenya Law Review Journal* 19 (2007), pp. 19-29: 29

 $<sup>^{62}</sup>$  Muigua. K., 'Alternative Dispute Resolution and Access to Justice in Kenya.' Op Cit $^{63}$  Ibid

<sup>&</sup>lt;sup>64</sup> Grad. F.P., 'Alternative Dispute Resolution in Environmental Law.' *Columbia Journal of Environmental Law* Volume: 14, Issue 1

<sup>&</sup>lt;sup>65</sup> Ako. R., 'Resource Exploitation and Environmental Justice: the Nigerian Experience' Available at <u>https://www.elgaronline.com/display/edcoll/9781848446793/9781848446793.00011.xml</u> (Accessed on 19/01/2024)

environment<sup>66</sup>. It has been argued that enhancing access to justice in environmental matters is one of the key ways of promoting Environmental Justice<sup>67</sup>.

ADR processes can foster Environmental Justice by enhancing access to justice in environmental matters due to their potential to promote effective and efficient management of environmental conflicts<sup>68</sup>. For example, it has been opined that mediation has been effectively used to manage environmental disputes in areas such as land use, natural resource management, water resources, energy, air quality among others<sup>69</sup>. In addition, it has been posited that ADR has been used with varying degrees of success in policy-making, standard setting, the determination of development choices, and in the enforcement of environmental standards<sup>70</sup>.

The role of ADR mechanisms in managing environmental conflicts is recognized at both global and national levels. At the global level, the *Rio Declaration on Environment and Development*<sup>71</sup> envisages the use of ADR mechanisms in managing environmental conflicts. Principle 10 of the Declaration stipulates as follows:

'Environmental issues are best handled with the <u>participation of all concerned citizens</u>, <u>at the relevant level</u>. At the national level, each individual shall have appropriate access to information concerning the environment that is held by public authorities, including information on hazardous materials and activities in their communities, and the opportunity to <u>participate in decision-making processes</u>. States <u>shall facilitate and</u> <u>encourage public awareness and participation</u> by <u>making information widely available</u>. Effective access to judicial and administrative proceedings, including redress and remedy, shall be provided (Emphasis added)<sup>72</sup>.

<sup>&</sup>lt;sup>66</sup> United States Environmental Protection Agency; 'Environmental Justice.' Available at <u>https://www.epa.gov/environmentaljustice</u> (Accessed on 19/01/2024)

<sup>&</sup>lt;sup>67</sup> Pickup. F., 'Five Steps to Environmental Justice.' Available at <u>https://www.undp.org/blog/five-stepsenvironmental-justice</u> (Accessed on 19/01/2024)

<sup>&</sup>lt;sup>68</sup> Grad. F.P., 'Alternative Dispute Resolution in Environmental Law.' Op Cit

<sup>&</sup>lt;sup>69</sup> Ibid

<sup>70</sup> Ibid

 <sup>&</sup>lt;sup>71</sup> United Nations General Assembly., 'Report of the United Nations Conference on Environment and Development: Rio Declaration on Environment and Development.' A/CONF. 151/26 (Vol.1)
<sup>72</sup> Ibid, Principle 10

It has been argued that principle 10 of the Rio Declaration encourages the use of ADR processes in managing environmental conflicts through its emphasis on three pillars of environmental governance: *information related to dangers to the environment should be available to the public, the public should participate in the decision-making process, methods and channels of accessing to justice should be available to all individuals*<sup>73</sup>. ADR mechanisms such as mediation can realize these pillars by promoting participation, consensus building and access to justice in environmental matters<sup>74</sup>.

In addition, the *Convention on Access to Information, Public Participation in Decision-Making and Access to Justice in Environmental Matters (Aarhus Convention)*<sup>75</sup> also encourages the use of ADR techniques in managing environmental conflicts. The Convention urges states to pursue the management of disputes through negotiation or by any other means of dispute settlement acceptable to the parties to the dispute<sup>76</sup>. It also permits parties to pursue binding methods like arbitration and adjudication if the amicable methods have failed<sup>77</sup>.

Further, the *Paris Agreement*<sup>78</sup> also represents global efforts towards managing an environmental conflict through ADR mechanisms It aims to strengthen the global response to the threat of climate change, in the context of sustainable development and efforts to eradicate poverty, including by holding the increase in the global average temperature to well below 2°C above pre-industrial levels and pursuing efforts to limit the temperature increase to 1.5°C above pre-industrial levels, recognizing that this would significantly reduce the risks and impacts of climate change<sup>79</sup>. Adoption of the Paris Agreement saw the application of ADR mechanisms especially negotiation to respond to climate change which is a major environmental

<sup>&</sup>lt;sup>73</sup> Alkhayer. J., 'Role of ADR Methods in Environmental Conflicts in the light of Sustainable Development.' Available at <u>https://iopscience.iop.org/article/10.1088/1755-1315/1084/1/012057/pdf</u> (Accessed on 19/01/2024)

<sup>&</sup>lt;sup>74</sup> Ibid

<sup>&</sup>lt;sup>75</sup> Convention on Access to Information, Public Participation in Decision-Making and Access to Justice in Environmental Matters., Available at <u>https://unece.org/DAM/env/pp/documents/cep43e.pdf</u> (Accessed on 19/01/2024)

<sup>&</sup>lt;sup>76</sup> Ibid, Article 16

<sup>77</sup> Ibid

<sup>&</sup>lt;sup>78</sup> Paris Agreement., United Nations, 2015., Available at

https://unfccc.int/sites/default/files/english\_paris\_agreement.pdf (Accessed on 19/01/2024) <sup>79</sup> Ibid, Article 2 (1) (a)

problem<sup>80</sup>. The 2015 United Nations Climate Change Conference (COP 21) in Paris saw 195 nations participate in *negotiating* a framework to curb emissions and take common action on climate change (Emphasis added)<sup>81</sup>.

Further, at a national level, the *Environment and Land Court Act*<sup>82</sup> of Kenya encourages the Environment and Land Court (ELC) to embrace the use of ADR mechanisms to manage environmental conflicts<sup>83</sup>. The Act provides that the ELC may adopt and implement, on its own motion, with the agreement of or at the request of the parties, any other appropriate means of Alternative Dispute Resolution including conciliation, mediation and traditional dispute resolution mechanisms in accordance with Article 159(2)(c) of the Constitution<sup>84</sup>. In addition, the *Community Land Act of Kenya*<sup>85</sup> also encourages settlement of disputes relating to community land through ADR methods including traditional dispute and conflict resolution mechanisms where it is appropriate to do so<sup>86</sup>. In particular, the Act recognizes the use of mediation and arbitration in managing disputes relating to community land<sup>87</sup>.

The role of ADR mechanisms in managing environmental conflicts is therefore well entrenched in law. It has been argued that certain benefits of ADR processes make them suited for use in resolving environmental problems<sup>88</sup>. For instance, the processes that permit the greatest degree of party autonomy, such negotiation, conciliation, and mediation, are informal, cost-effective, and give parties the freedom to come up with their own creative and long-term solutions to environmental problems<sup>89</sup>. For example, it has been argued that ADR mechanisms can aid parties to environmental conflicts, such as those involving the implementation of environmental projects, to come up

<sup>&</sup>lt;sup>80</sup> Dani. R., 'Role of Alternative Dispute Resolution in Environmental Disputes.' Available at https://viamediationcentre.org/readnews/NTE3/Role-of-Alternative-Dispute-Resolution-in-Environmental-Disputes (Accessed on 19/01/2024)

<sup>&</sup>lt;sup>81</sup> Ibid

<sup>&</sup>lt;sup>82</sup> Environment and Land Court Act., No. 19 of 2011, Government Printer, Nairobi

<sup>&</sup>lt;sup>83</sup> Ibid, Article 20

<sup>&</sup>lt;sup>84</sup> Ibid, Article 20 (1)

<sup>&</sup>lt;sup>85</sup> Community Land Act., No. 27 of 2016., Government Printer, Nairobi

<sup>&</sup>lt;sup>86</sup> Ibid, S 39 (1)

<sup>&</sup>lt;sup>87</sup> Ibid, S 40 & 41

 <sup>&</sup>lt;sup>88</sup> Muigua. K., 'Environmental Conflict Management Institutions and Approaches.' Op Cit
<sup>89</sup> Ibid

with creative remedies over and above traditional remedies available in litigation<sup>90</sup>. Therefore, instead of cancelling projects, parties through ADR processes such as negotiation and mediation can agree and come up with mutually acceptable solutions such as effective mitigation measures taking into account their respective needs and concerns<sup>91</sup>.

ADR mechanisms can also promote effective management of environmental conflicts by encouraging consensus building and participatory approaches towards conflict management<sup>92</sup>. It has been pointed out that ADR mechanisms especially mediation can foster community involvement in the conflict management process through the use of peace committees, Non-Governmental Organisations, religious bodies, among other bodies within communities<sup>93</sup>. This process can facilitate effective management of conflicts by addressing the root causes of such conflicts<sup>94</sup>. Therefore, in case of environmental conflicts, mediation affords parties an opportunity to sustain or improve their relationships which is important especially in cases of environmental projects such as those related to energy, water and infrastructure whose completion may be for the larger good of every person involved<sup>95</sup>. By encouraging participation and consensus building, ADR mechanisms encourage amicable management of environmental conflicts and provide the basis for sustained relationships which is especially important where environmental projects are involved<sup>96</sup>.

<sup>&</sup>lt;sup>90</sup> Higgs. S., 'The Potential for Mediation to Resolve Environmental and Natural Resources Disputes.' Available at

https://www.acctm.org/docs/The%20Potential%20For%20Mediation%20to%20Resolve%20Environ men tal%20\_CONNOR-Higgs\_.pdf (Accessed on 19/01/2024) <sup>91</sup> Ibid

<sup>&</sup>lt;sup>92</sup> Muigua. K., 'Attaining Environmental Justice through Alternative Dispute Resolution.' Available at <u>https://kmco.co.ke/wp-content/uploads/2023/07/Attaining-Environmental-Justice-through-</u>Alternative-Dispute-Resolution.pdf (Accessed on 19/01/2024)

 <sup>&</sup>lt;sup>93</sup> Muigua. K., 'Natural Resource Conflicts: Addressing Inter-Ethnic Strife through Environmental Justice in Kenya.' Available at <u>http://kmco.co.ke/wp-content/uploads/2019/09/Natural-Resource-ConflictsAddressing-Inter-Ethnic-Strife-Through-Environmental-Justice-in-kenya-Kariuki-Muigua-7th-September2019.pdf</u> (Accessed on 19/01/2024)
<sup>94</sup> Ibid

<sup>&</sup>lt;sup>95</sup> Higgs. S., 'The Potential for Mediation to Resolve Environmental and Natural Resources Disputes.' Op Cit

<sup>&</sup>lt;sup>96</sup> Ibid

From the foregoing, it is evident that ADR mechanisms are viable in managing environmental conflicts. Further, in light of climate change concerns and the need to transition towards green economies, it has been argued that ADR mechanisms will be increasingly useful in managing environmental and sustainability disputes such as those concerning renewable energy, carbon reduction, waste management, electrification, sustainable transport and infrastructure among others<sup>97</sup>.

ADR mechanisms play crucial role in managing specific types of environmental conflicts and problems. For example, it has been argued that negotiation plays a critical role in environmental resource management which is vital step in minimizing environmental conflicts<sup>98</sup>. Negotiation as an ADR mechanism often involves a discussion between two or more parties with conflicting interests aiming to reach an agreement<sup>99</sup>. Negotiation has been at the heart of environmental conflict management and has resulted in the adoption of numerous global, continental and regional instruments aimed at addressing specific environmental problems and issues including climate change, conservation of biodiversity, pollution, and the management of specific natural resources including land, forests, water and wetlands, wildlife, the blue economy among others<sup>100</sup>.

In addition, mediation has been advanced as a vital tool in preventing and resolving environmental and natural resources conflicts<sup>101</sup>. It has been observed that natural resources such as land, timber, water as well as extractive resources have played an important role in igniting and prolonging conflict particularly in fragile states where management and oversight of such resources is often weak<sup>102</sup>. Mediation is seen as a

<sup>&</sup>lt;sup>97</sup> World Intellectual Property Organization., 'WIPO Alternative Dispute Resolution for Green Technology and Sustainability.' Available at <u>https://www.wipo.int/amc/en/center/specific-sectors/greentechnology-sustainability/</u> (Accessed on 19/01/2024)

<sup>&</sup>lt;sup>98</sup> Eshragh. F., Pooyandeh. M., & Marceau. D., 'Automated Negotiation in Environmental Resource Management: Review and Assessment.' *Journal of Environmental Management.*, Volume 162, No. 1 (2015)

<sup>99</sup> Ibid

<sup>&</sup>lt;sup>100</sup> International Institute for Sustainable Development., 'Negotiations.' Available at <u>https://enb.iisd.org/negotiations</u> (Accessed on 19/01/2024)

 <sup>&</sup>lt;sup>101</sup> United Nations Environment Programme., 'Mediation Can Prevent, Resolve Natural Resources Disputes New UN Guide Launched.' Available at <u>https://www.unep.org/news-and-stories/press-release/mediation-can-prevent-resolve-natural-resources-disputes-new-un</u> (Accessed on 19/01/2024)
<sup>102</sup> Ibid

viable tool in managing such conflicts by focusing on a broad range of benefits tied to natural resources, including employment opportunities, revenue, services, access and infrastructure<sup>103</sup>. Attributes of mediation including voluntary participation and agreement, confidentiality of exchanges among parties, the search of mutually satisfactory solutions have been identifies as well suited for the multi-party complex context of environmental conflicts<sup>104</sup>.

Finally, arbitration can provide an avenue for the effective and efficient management of complex environmental conflicts such as those related to climate change and energy<sup>105</sup>. Arbitration allows parties to select a third party with requisite knowledge and experience in such matters in order to promote effective management of their conflict<sup>106</sup>. Further, due to its transnational applicability, arbitration can be effectively utilized in managing environmental conflicts involving parties from different jurisdictions<sup>107</sup>. It has been observed that environmental conflicts may involve parties from different nationalities since the effects of issues such as pollution and climate change may spread across different states<sup>108</sup>. Such disputes cannot be managed by national courts due to jurisdictional concerns<sup>109</sup>. ADR mechanisms such as arbitration and mediation are able to promote management of such disputes since they apply across multiple jurisdictions and further guarantee enforcement of decisions<sup>110</sup>.

It therefore emerges that ADR techniques are viable in managing environmental conflicts. However, it has been contended that ADR processes have not been given an adequate chance within the framework of environmental conflict management<sup>111</sup>.

<sup>&</sup>lt;sup>103</sup> Ibid

<sup>&</sup>lt;sup>104</sup> Kaufman. S., 'Mediation in Environmental Disputes.' Available at <u>https://www.eolss.net/sample-chapters/c14/E1-40-03-03.pdf</u> (Accessed on 19/01/2024)

<sup>&</sup>lt;sup>105</sup> Muigua. K., 'The Viability of Arbitration in management of Climate Change Related Disputes in Kenya' Available at <u>http://kmco.co.ke/wp-content/uploads/2022/04/The-Viability-of-Arbitration-inmanagement-of-Climate-Change-Related-Disputes-in-Kenya-11th-April-2022.pdf</u> (Accessed on 19/01/2024)

<sup>106</sup> Ibid

<sup>&</sup>lt;sup>107</sup> Ibid

 <sup>&</sup>lt;sup>108</sup> Elborough. L., 'International Climate Change Litigation: Limitations and Possibilities for International Adjudication and Arbitration in Addressing the Challenge of Climate Change.' Available at <u>http://www.nzlii.org/nz/journals/NZJlEnvLaw/2017/5.pdf</u> (Accessed on 19/01/2024)
<sup>109</sup> Ibid

<sup>110</sup> Ibid

<sup>&</sup>lt;sup>111</sup> Muigua. K., 'Environmental Conflict Management Institutions and Approaches.' Op Cit

UNEP posits that despite their viability, ADR mechanism such as negotiation and mediation are often underutilized in the context of conflicts involving natural resources<sup>112</sup>. It is also necessary to address some of the challenges inherent in ADR mechanisms such as power imbalances, enforceability challenges and lack of urgent protection measures such as injunctions in order to encourage the use of these processes in managing environmental conflicts<sup>113</sup>.

### 4.0 Way Forward

ADR mechanisms can promote effective and efficient management of environmental conflicts. They allow parties to enjoy autonomy over the process and outcome and have the ability to foster expeditious, cost-effective, flexible and non-complex management of disputes<sup>114</sup>. They also allow parties to come up with creative remedies over and above traditional remedies available in litigation<sup>115</sup>. It is therefore necessary to embrace ADR processes in order to foster effective management of environmental conflicts.

It is also important to continuously modify the legal and institutional framework on ADR at all levels including national levels in order to enhance the uptake of these

<sup>113</sup> Muigua. K., 'Attaining Environmental Justice through Alternative Dispute Resolution.' Op Cit <sup>114</sup> Muigua. K. & Kariuki. F., 'Towards Environmental Justice in Kenya.' Available at <u>http://kmco.co.ke/wp-content/uploads/2018/08/Towards-Environmental-Justice-in-Kenya-January2015.pdf</u> (Accessed on 19/01/2024)

<sup>&</sup>lt;sup>112</sup> United Nations Environment Programme., 'Mediation Can Prevent, Resolve Natural Resources Disputes New UN Guide Launched.' Op Cit

<sup>&</sup>lt;sup>115</sup> Higgs. S., 'The Potential for Mediation to Resolve Environmental and Natural Resources Disputes.' Op Cit

mechanisms in environmental conflicts<sup>116</sup>. ADR mechanisms such as mediation and arbitration have been well embraced in some kind of disputes especially those that are commercial in nature<sup>117</sup>. However their role in environmental conflict management is yet to be fully entrenched<sup>118</sup>. By continuously refining the legal and institutional framework on ADR, these mechanisms can become more utilized in managing environmental among other types of conflicts<sup>119</sup>.

It is also necessary for States to encourage the use of ADR mechanisms in environmental governance and conflict management<sup>120</sup>. States play a fundamental role in environmental governance and are often responsible for formulating laws, policies and best approaches towards managing the environment<sup>121</sup>. Through this role, states and their institutions can encourage the use of ADR mechanisms in environmental governance and management<sup>122</sup>. For example, the Constitution of Kenya urges the state to encourage public participation in the management, protection and conservation of the environment<sup>123</sup>. It has correctly been pointed out that fostering public participation which is a fundamental principle in the Environmental Justice debate involves the use of ADR processes such as negotiation and mediation<sup>124</sup>. Therefore by encouraging the use of ADR mechanisms in environmental governance and conflict management, the state can fulfil its obligations in respect of the environment including the need to promote public participation in the management, protection and conservation of the environment<sup>125</sup>.

In addition, state institutions such as the Judiciary also have a role to play in promoting the use of ADR mechanisms in managing environmental conflicts. For

125 Ibid

 <sup>&</sup>lt;sup>116</sup> Muigua. K., 'Environmental Conflict Management Institutions and Approaches.' Op Cit
<sup>117</sup> Ibid

<sup>&</sup>lt;sup>118</sup>United Nations Environment Programme., 'Mediation Can Prevent, Resolve Natural Resources Disputes New UN Guide Launched.' Op Cit

 <sup>&</sup>lt;sup>119</sup> Muigua. K., 'Environmental Conflict Management Institutions and Approaches.' Op Cit
<sup>120</sup> Ibid

 $<sup>^{121}</sup>$  Muigua. K., 'Nurturing Our Environment for Sustainable Development.' Op Cit $^{122}$ Ibid

<sup>&</sup>lt;sup>123</sup> Constitution of Kenya., 2010., Article 69 (1) (d) Government Printer, Nairobi

<sup>&</sup>lt;sup>124</sup> Grad. F., 'Alternative Dispute Resolution in Environmental Law.' *Columbia Journal of Environmental Law*, Volume: 14 Issue: 1

example, in Kenya the Environment and Land Court Act<sup>126</sup>encourages the Environment and Land Court (ELC) to embrace the use of ADR mechanisms to manage environmental conflicts<sup>127</sup>. The Act provides that the ELC may adopt and implement, on its own motion, with the agreement of or at the request of the parties, any other appropriate means of Alternative Dispute Resolution including conciliation, mediation and traditional dispute resolution mechanisms in accordance with Article 159 (2) (c) of the Constitution<sup>128</sup>. In addition, the Act provides that where an ADR mechanism is a condition precedent to any proceedings before the Court, the Court shall stay proceedings until such condition is fulfilled<sup>129</sup>. The ELC can therefore foster the use of ADR mechanisms in managing environmental conflicts by referring cases to ADR. It has been argued that the ELC can adopt and implement environmental ADR by promoting supervised ADR (also referred to as court annexed ADR) or judicial referral of a dispute to an appropriate ADR process<sup>130</sup>. Courts therefore have an important role to play in promoting the use of ADR in managing environmental conflicts.

Finally, it has been contended that the suitability of ADR mechanisms in managing environmental conflicts can be enhanced by addressing the inadequacies inherent in some ADR mechanisms such as lack of formal recognition and enforcement of outcomes<sup>131</sup>. As a result, there is a need for all countries to enact sound legal and policy frameworks for effective utilization of ADR to ensure full access to justice in all areas<sup>132</sup>. This will promote legitimization of ADR mechanisms thus enabling their advantages to be realized across different sectors including environmental

<sup>132</sup> Muigua. K., 'Legitimising Alternative Dispute Resolution in Kenya: Towards a Policy and Legal Framework.' Available at <u>http://kmco.co.ke/wp-</u>

content/uploads/2018/08/LEGITIMISINGALTERNATIVE-DISPUTE-RESOLUTION-MECHANISMS-IN-KENYA.pdf (Accessed on 19/01/2024)

<sup>&</sup>lt;sup>126</sup> Environment and Land Court Act., No. 19 of 2011, Government Printer, Nairobi

<sup>&</sup>lt;sup>127</sup> Ibid, S 20

<sup>128</sup> Ibid, S 20 (1)

<sup>&</sup>lt;sup>129</sup> Ibid, S 20 (2)

<sup>&</sup>lt;sup>130</sup> Ingonga. R. M., 'Alternative Dispute Resolution in Environmental Disputes: A Case of the Specialized Environment and Land Court in Kenya' *Journal of Conflict Management and Sustainable Development*, Volume 2 (1) (2018)

<sup>&</sup>lt;sup>131</sup> Ansari. A et al., 'Alternative Dispute Resolution in Environmental and Natural Resource Disputes.' *Journal of the Indian Law Institute*, Volume 59, No.1, 2017

governance<sup>133</sup>. For example, in Kenya, adoption of the *Alternative Justice Systems Framework Policy*<sup>134</sup> is a vital step in mainstreaming ADR mechanisms and enhancing their uptake in managing a wide range of conflicts including environmental conflicts<sup>135</sup>.

The foregoing measures among others are necessary in order to promote efficient management of environmental conflicts through ADR.

### 5.0 Conclusion

Environmental conflicts are a major threat to the achievement of Sustainable Development<sup>136</sup>. These conflicts are directly and indirectly connected to and/or impact human development factors and especially the quest for social-economic development<sup>137</sup>. It is therefore necessary to foster effective management of environmental conflicts in order to realize Sustainable Development. ADR mechanisms are ideal in managing environmental conflicts. These techniques encourage participation and consensus building and can enable parties to craft creative and long-term solutions to environmental problems<sup>138</sup>. However, despite their advantages, ADR processes have not been given an adequate chance within the framework of environmental conflict management<sup>139</sup>. In addition, certain challenges such as power imbalances, enforceability challenges and lack of urgent protection measures such as injunctions could potentially limit the suitability of ADR mechanisms in managing environmental conflicts<sup>140</sup>. It is imperative address such

<sup>135</sup> Muigua. K., 'Mainstreaming Alternative Justice Systems in Africa.' Available at <u>https://kmco.co.ke/wp-content/uploads/2023/11/Mainstreaming-Alternative-Justice-Systems-in-</u> Africa.pdf (Accessed on 19/01/2024)

<sup>&</sup>lt;sup>133</sup> Ibid

<sup>&</sup>lt;sup>134</sup> The Judiciary of Kenya., 'Alternative Justice Systems Framework Policy.' Available at <u>https://www.unodc.org/documents/easternafrica/Criminal%20Justice/AJS\_Policy\_Framework\_20</u> 20\_ Kenya.pdf (Accessed on 19/01/2024)

<sup>&</sup>lt;sup>136</sup> United Nations Environment Programme., 'Environmental Cooperation and Peacebuilding.' Op Cit

<sup>&</sup>lt;sup>137</sup> Muigua. K., 'Managing Environmental Conflicts through Participatory Mechanisms for Sustainable Development in Kenya.' Op Cit

<sup>&</sup>lt;sup>138</sup> Ibid

<sup>&</sup>lt;sup>139</sup> Muigua. K., 'Environmental Conflict Management Institutions and Approaches.' Op Cit

<sup>&</sup>lt;sup>140</sup> Muigua. K., 'Attaining Environmental Justice through Alternative Dispute Resolution.' Op Cit

concerns in order to enhance management of environmental conflicts through ADR. This can be achieved through enhancing the uptake of ADR processes in environmental conflicts, strengthening the legal and institutional framework on ADR at all levels, encouraging the use of ADR mechanisms in environmental governance and management by the state and its institutions, and addressing the underlying concerns in ADR such as enforceability challenges and power imbalances<sup>141</sup>. Managing environmental conflicts through ADR is a noble and achievable endeavour towards Sustainable Development.

<sup>&</sup>lt;sup>141</sup> Ansari. A et al., 'Alternative Dispute Resolution in Environmental and Natural Resource Disputes.' Op Cit; Muigua. K., 'Attaining Environmental Justice through Alternative Dispute Resolution.' Op Cit; Muigua. K., 'Environmental Conflict Management Institutions and Approaches.' Op Cit

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